

• 400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343 - Telephone  
(516) 742-4366 - Facsimile  
e-mail: [Intprop@ssmp.com](mailto:Intprop@ssmp.com)

**SCULLY, SCOTT, MURPHY  
& PRESSER****Fax**

FAX COPY RECEIVED

MAR 4 - 2002

TECHNOLOGY CENTER 2800

<b>To:</b>	Examiner Cuong Q. Nguyen	<b>From:</b>	Leslie S. Szivos
<b>Fax:</b>	703-872-9318	<b>Pages:</b>	11 Including cover
<b>Phone:</b>		<b>Date:</b>	3/4/2002
<b>Re:</b>	<b>U.S. Patent Appln. No. 09/516,615</b>		
	IBM Ref: BUR919990190US1		
	SSMP Docket: 13020		
	For: METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR BASE POLYSILICON LAYERS		

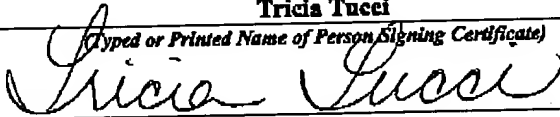
**For Review****• Comments:**


1. Amendment Transmittal Letter (In duplicate)
2. Amendment and Response
3. Authorization to Charge Deposit Account
4. Certificate of Transmission by Facsimile dated March 4, 2002

Applicants:	Douglas D. Coolbaugh, et al.
Serial No.	09/516,615
Filed:	March 1, 2000
For:	METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR BASE POLYSILICON LAYERS
Docket:	BUR919990190US1 (13020)
Dated:	March 4, 2002

CONFIDENTIALITY: The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right or publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.

If you have any problems concerning this facsimile, please call (516) 742-4343 and ask for Tricia, Ext. 597.

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			<b>Docket No.</b>
Applicant(s): <b>D nglas D. Coolbaugh, et al.</b>			<b>BUR919990190US1 (13020)</b>
<b>Serial No.</b> 09/516,615	<b>Filing Date</b> March 1, 2000	<b>Examiner</b> Cuong Q. Nguyen	<b>Group Art Unit</b> 2811
Invention: <b>METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR BASE POLYSILICON LAYERS</b>			
<b>FAX COPY RECEIVED</b> <b>MAR 4 - 2002</b> <b>TECHNOLOGY CENTER 2800</b>			
I hereby certify that this <u>Amendment and Response</u> (Identify type of correspondence)			
is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9318</u> )			
on <u>March 4, 2002</u> (Date)			
 <b>Tricia Tucci</b> (Typed or Printed Name of Person Signing Certificate)  (Signature)			
 <b>Note: Each paper must have its own certificate of mailing.</b>			

<b>AMENDMENT TRANSMITTAL LETTER (Large Entity)</b>				Docket No. <b>BUR919990190US1 (13020)</b>	
Applicant(s): <b>Douglas D. Coolbaugh, et al.</b>					
Serial No. <b>09/516,615</b>	Filing Date <b>March 1, 2000</b>	Examiner <b>Cuong Q. Nguyen</b>		Group Art Unit <b>2811</b>	
Inv ntion: <b>METHOD OF FABRICATING A POLYSILICON CAPACITOR UTILIZING FET AND BIPOLAR BASE POLYSILICON LAYERS</b>					
<u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u> <div style="text-align: right;">FAX COPY RECEIVED MAR 4 - 2002 TECHNOLOGY CENTER 2000</div>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	5 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	1 -	3 =	0 x	\$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed. <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. <b>09-0456/IBM</b> A duplicate copy of this sheet is enclosed. <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">             Signature  <b>Leslie S. Szivos</b>            Registration No. 39,394   <b>SCULLY, SCOTT, MURPHY &amp; PRESSER</b>            400 Garden City Plaza            Garden City, New York 11530            (516) 742-4343         </div> <div style="text-align: right;">           Dated: <b>March 4, 2002</b> </div> </div>					
<div style="display: flex; justify-content: space-between;"> <div>           LSS:tt cc:         </div> <div style="border: 1px solid black; padding: 5px; width: 300px;"> <p style="font-size: small;">I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p> <p style="text-align: center; font-size: small;">Signature of Person Mailing Correspondence</p> <p style="text-align: center; font-size: small;">Typed or Printed Name of Person Mailing Correspondence</p> </div> </div>					